

HYDRATION IN TWO-DIMENSIONAL SYSTEMS

Lisbeth Ter-Minassian-Saraga

Physico-Chimie des Surfaces et des Membranes, Equipe de Recherche du CNRS associée à l'Université Paris V, UER Biomédicale, 45 rue des Saints-Pères, 75270 Paris cedex 06, France

Abstract - The melting of the structural water in multibilayer systems is investigated by means of true differential scanning calorimetry in the range - 60°C to + 80°C. Freezing experiments have been carried out too.

The multibilayer systems consist in aqueous dispersions of phospholipids at various phospholipid/water amounts or in (phospholipid + additive) dispersed in a given amount of water (40% - 60% w/w) for various phospholipid/additive amounts. The phospholipids are DPPC (1-2-dipalmitoyl-sn-glycero-3-phosphocholine), PI (phosphatidylinositolmonophosphate from wheat) and egg lecithin E-L. The additives are cholesterol Ch, vinblastine VLB and a hydrophobic charged macromolecule (polysoap PS). From the melting thermograms the apparent heat of melting L of the structural water inside the aqueous separation is deduced. It is evident that the additive affects specifically both the structural water and the bilayer thermodynamic behaviour. This result is compared mainly with results of studies of phospholipids swelling in water under various conditions and also to condensation in mixed monolayers.

INTRODUCTION

Cell-cell, vesicle cell and vesicle-vesicle fusion require contact between membranes beyond the membranes "wall" of hydration (Ref.1-4). This "wall" consists of water molecules bound by the membrane components located on the external face of the membranes.

In multilamellar or multibilayer aqueous phospholipid systems these bound (Ref.5,6) or structural water molecules produce the repulsive hydration force or pressure (Ref.1,2) which is decomposed (Ref.3) in its normal and lateral constituents.

The effect of cholesterol on the structural water of lecithins has been noticed in Ref.6. The effect of cholesterol on the state of natural and of synthetic phospholipids has been studied intensively. Various spectroscopic technics have been used to study the dynamic state and the organization of the lipid molecules in bilayers (Ref.7,8). Cholesterol acts as an impurity in the bilayers (Ref.9) but the amount of its incorporation is limited (Ref.6). The acyl groups are ordered by cholesterol according to Ref.10. They are disordered according to Ref.11. Raman studies detect a change in acyl group conformation and in the stretching mode frequency of the esters carbonyls (Ref.12,13). The contribution of hydrogen bonds to the polar head region of the bilayers and monolayers has been emphasized (Ref.14,15). Polar head group mobility of DPPC is increased by small amounts of cholesterol molecules acting as "spacers" (Ref.17). Water molecules also act as spacers as they increase the area per DPPC molecule (Ref.13,17). When the composition of the system is 5/1 (molecule/molecule) water/lipid, water extends up to the glycerol back bone (Ref.16). Hydrogen bond formation between the β -OH of cholesterol and the ester carbonyl of the phospholipid (Ref.13) is not observed. The contradictory effect of cholesterol on EL and DPPC in either bilayer or monolayer state (Ref.7) is not understood yet. In particular the "spacer" behaviour of cholesterol in bilayers contradicts its condensing effect in mixed monolayers with DPPC (Ref.18,19).

The amount of water associated with the polar groups in monolayers cannot be determined.

Recently, an indirect method for studying hydration in monolayers has been suggested (Ref.20).

In bilayers the results obtained for lipid hydration may vary with the technique used to determine it. DSC used first in Ref.6 has revealed the class of "non freezing" water : in multibilayer systems we find (Ref.21) that phospholipid multibilayer systems include also a class of water molecules freezing and melting below 0°C. These molecules and the non freezing ones constitute the aqueous separation between bilayers and are affected by the surface forces of the bilayers. When the composition of the bilayers changes the surface forces are modified. The hydration or the amount of the two classes of water inside the aqueous separation is affected. For phospholipids, the "spacer" effect of water molecules has been found by X-Ray analysis of lamellar systems (Ref.1-3 & 22,23).

A similar "spacer" behaviour of water bound to phospholipid polar heads has been observed recently for natural membranes using neutron diffraction. These membranes include proteins (Ref.24,25).

Calorimetry cannot provide a resolved picture of water binding to the phospholipid bilayers. The results of calorimetric studies are complementary to the isothermal studies of hydration forces (Ref.1-3) by X-Ray and ultrafiltration.

Before reporting our results we compare the behaviour of multibilayers on isothermal ultrafiltration and on isobaric cooling or heating below 0°C.

ULTRAFILTRATION WITH /ICE MELTING AND WATER FREEZING/IN WATER SWOLLEN PHOSPHOLIPIDS

Phospholipid hydration and swelling at constant temperature, pressure and volume

In a reference state pure dry bulk lipid and pure bulk water occupy separate volumes V_l^p and V_w^b such that $V = V_l^p + V_w^b$ at the reference temperature T_a and pressure $p_0 = 1 \text{ atm}$ (Fig.1a). The lipid water interface is negligible. In the equilibrium swollen state (Fig.1b) this interface has increased and n_w^c moles of excess water have been added to maintain V_w^b constant, assuming V_l^p is unchanged on swelling. This amounts to a change in water density next to the bilayer surface. Also it implies that the aqueous spacings occupy the volume V_w^b which is filled with "bulk" water, the excess water being located on the ideal lipid/water plane interface (Gibbs convention).

In short, the Gibbs convention is equivalent to assuming that the water swollen lipid WSL consists in pure dry lipid, bulk water and adsorbed water.

The occurrence of the equilibrium water spacing h_w is the result of the balance between the attractive and the repulsive interbilayer forces (springs in Fig.1b and 1c).

The differential of the internal energy of the system in Fig.1b is equal to :

$$dU = TdS - pdV + \mu_l dn_l + \mu_w dn_w + 2\sigma dA - A\Pi dh_w \quad (1)$$

where S , μ_i , n_i , σ , A , Π , designate entropy, chemical potential and number of moles of constituent i , water w and lipid l , the interfacial tension and area and the net pressure (force/unit area) resulting from various interactions between bilayers.

The Gibbs free energy G defined at given T , p , σ , h_w is obtained from (1) (Ref.26, Ch.XII). It is equal to :

$$G = U - TS + pV - 2\sigma A = \tilde{G} - 2\sigma A = n_l \mu_l + n_w \mu_w \quad (2)$$

From (1) and (2) the chemical potential of water in the system at equilibrium is obtained as in Ref.26 (eq.12.7 to 12.14) :

$$\mu_w = \left(\frac{\partial G}{\partial n_w} \right)_{T,p,\sigma,n_j,h_w} \quad (j \neq w) \quad (3)$$

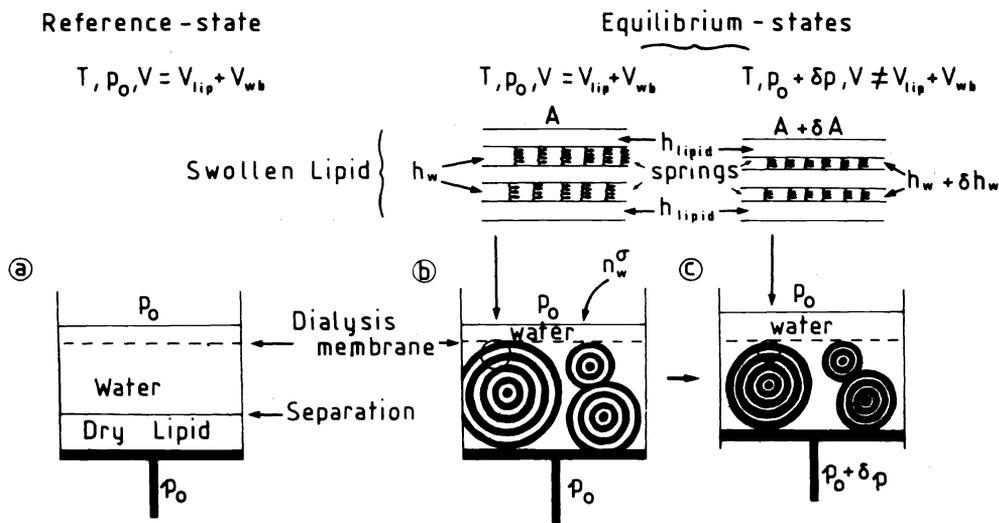


Fig.1. Isothermal ultrafiltration (Ref.3).
 (a) Reference state at temperature T and pressure p_0 .
 (b) Equilibrium at T, p_0 ; equilibrium at $T, p_0 + \delta p$ (c).
 V, V_{lip}, V_w volumes occupied by all the condensed phases, by the dry lipid and by bulk water respectively.
 n_w^σ : adsorbed water (moles). "Onions" = multibilayers.
 Enlarged sections : A = total bilayer area ; $h_w = L$ aqueous spacing width ; springs = model of net force/unit area Π between bilayers ($\Pi = 0$ for $\delta p = 0$). h_{lip} = bilayer thickness.

Isothermal ultrafiltration experiment (Ref.3).

The system in Fig.1b is open with respect to water and closed with respect to the lipid; $dn_l = 0$ in eq.1). At a given T and n_l the pressure on the piston is brought to $p_0 + \delta p$. In this situation (Fig.1c) water is in diffusion equilibrium at two pressures p_0 and $p_0 + \delta p$ on the two sides of the dialysis membrane. Therefore :

$$\mu_w(T, p_0) = \mu_w'(T, p_0 + \delta p) \tag{4}$$

Why water is at equilibrium at two different pressures ? From (1) and (2), the following differential of G is obtained :

$$dG = - SdT + Vdp + \mu_l dn_l + \mu_w dn_w - 2A d\sigma - A \Pi dh_w \tag{5}$$

Cross differentiation of (5) leads to :

$$\begin{aligned} \text{(a)} \quad \left(\frac{\partial \mu_w}{\partial \sigma}\right)_{T, p, n_l, h_w, n_w} &= - 2 \left(\frac{\partial A}{\partial n_w}\right)_{T, p, n_l, h_w, \sigma} \\ \text{(b)} \quad \left(\frac{\partial \mu_w}{\partial h_w}\right)_{T, p, n_l, \sigma, n_w} &= - A \left(\frac{\partial \Pi}{\partial n_w}\right)_{T, p, n_l, \sigma, h_w} \\ \text{(c)} \quad \left(\frac{\partial \mu_w}{\partial p}\right)_{T, n_l, \sigma, h_w, n_w} &= \left(\frac{\partial V}{\partial n_w}\right)_{T, n_l, \sigma, h_w, p} = v_w(T, n_l, \sigma, h_w, p) \end{aligned} \tag{6}$$

Comparing the chemical potentials of water in Fig.1b and in Fig.1c, for small $\delta p, \delta \sigma, \delta h_w, \delta n_w$ we have :

$$\delta\mu_w = 0 = \left(\frac{\partial\mu_w}{\partial p}\right)_{T,\sigma,h_w,n_1,n_w} \delta p + \left(\frac{\partial\mu_w}{\partial h_w}\right)_{T,p,\sigma,n_1,n_w} \delta h_w + \left(\frac{\partial\mu_w}{\partial\sigma}\right)_{T,p,h_w,n_w,n_1} \delta\sigma \quad (7)$$

Using the cross coefficients (6) we obtain from (7) :

$$v_w \delta p = 2\left(\frac{\partial A}{\partial n_w}\right)_{T,p,n_1,h_w,\sigma} \delta\sigma + A\left(\frac{\partial\Pi}{\partial n_w}\right)_{T,p,n_1,\sigma,h_w} \delta h_w \quad (8)$$

Therefore the flow work ($v_w \delta p$) and δp measured in Ref.3 have one contribution from the lateral extension of area due to hydration of the lipid bilayers i.e. $(\partial A/\partial n_w)$, the first term of the l.h.s. of eq.8, and one contribution from the change in the aqueous separation h_w which affects the net pressure Π . This pressure is the resultant of opposed dispersion and hydration forces which vary with h_w (Ref.3).

Effect of lipid bilayers on ice-water transition inside the aqueous separation between them. Cryoscopic experiments at constant pressure.

We are interested in the depression of water-ice transition temperature inside the aqueous separation between bilayers.

It has been assumed (Ref.27) that surface forces shift or modify the free energy of water at given T and external pressure p_0 but not that of ice. For $T > T_a$, this shift $\delta\tilde{\mu}_{T,p_0}^w$ of the water molar free energy has been expressed as a power law of the distance from the lipid bilayer interface (Ref.21).

$$\delta\tilde{\mu}_{T,p_0,L}^w = -\frac{a}{y^\alpha} \left\{1 + \frac{y^\alpha}{(L-y)^\alpha}\right\} = -\frac{a}{y^\alpha} \phi(L,y), \quad T > T_a \quad (9)$$

where $L \equiv h_w$ and a, α are adjustable parameters. $T_a = 0^\circ\text{C}$ is the normal ice water transition temperature. The factor ϕ accounts for the overlapping of two bilayers effects on the water molecules inside the aqueous gaps.

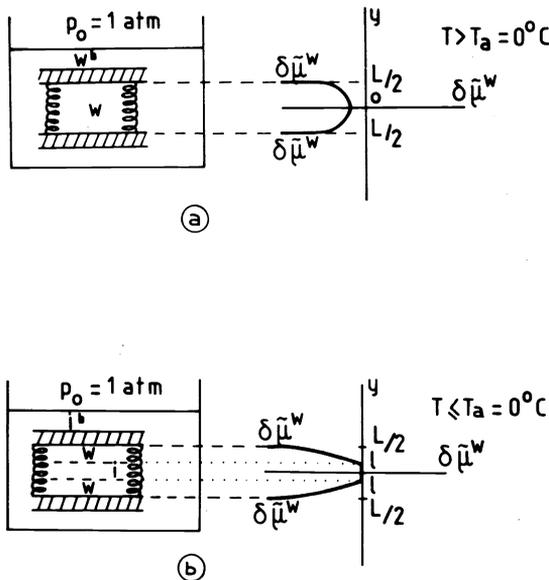
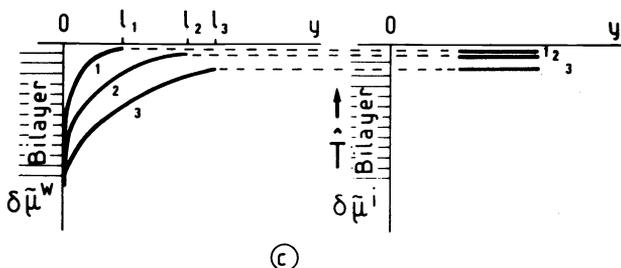


Fig.2. Aqueous spacing between bilayers at p_0 and either above or below $T_a = 0^\circ\text{C}$.

a) w^b, w = bulk water, internal water (inside aqueous spacing); L = width of aqueous spacing; y = distance from bilayer water interface. Springs: as in Fig.1. $\delta\tilde{\mu}^w$ = shift of water molar free energy for internal water.

b) i^b, i = bulk ice, internal ice; ice-water interface at $y = 1$.

c) qualitative plots of $\delta\tilde{\mu}^w$ and of $\delta\tilde{\mu}^i$ vs y at three temperatures $T < T_a$; l_1, l_2, l_3 corresponding to equilibrium positions for the ice water front.



The equilibrium condition between ice on top of the dialysis membrane in Fig.1, at $T < T_a$ and $p_o = 1$ atm, and water or ice inside the aqueous separation when the position of the ice water interface is $y = l$ (Fig.2) requires that :

$$\begin{aligned} \text{(a)} \quad \mu_{p_o, T}^i &= \mu_{p_o, T_a}^{i_o} - \int_0^{\hat{T}} s^i dT + v^i(p^i - p_o) \\ \text{(b)} \quad \mu_{p_o, T, L}^w &= \mu_{p_o, T_a}^{w, o} - \int_0^{T-T_a} s^w dT - \frac{a}{l^\alpha} \phi(L, l) + v^w(p^w - p_o) \quad (10) \\ \text{(c)} \quad \mu_{p_o, T}^i &= \mu_{p_o, T, L}^w \text{ at } p^i = p^w = p^o, h_w = L \text{ and } y = l. \end{aligned}$$

The shift $\hat{T} = T - T_a < 0$ is the displacement of the ice transition temperature for $y = l$. At constant L , $s^w - s^i = \Delta s$ is the molal entropy of ice melting at $p = p_o$ and $T = \hat{T} + T_a$. Defining the average molal enthalpy of ice melting at p_o as L where :

$$L = \int_0^{\hat{T}} (s^w - s^i)_{p_o, h_w, A} dT \approx \frac{L(p_o, T_a)}{T_a} \hat{T} \quad (11)$$

We obtain the variation of l with temperature from (10) and (11) :

$$\frac{L}{T_a} \hat{T} + \frac{a\phi(l, L)}{l^\alpha} = 0 \quad (12)$$

The relation between the position l of the ice water interface inside the aqueous separation and the depression \hat{T} , is shown in Fig.2. At large shifts \hat{T} , T and l are small. This implies that melting of ice starts at the ice bilayer interface and proceeds towards the midplane of the separation $L \equiv h_w$. When $l = L/2$ melting ends and $\hat{T} = \hat{T}_{\min}$. When $l = l_o$ and $l = (L - l_o)$ let $\hat{T} = \hat{T}_{\max}$. Melting starts when $\hat{T} \approx \hat{T}_{\max}$. From (12) we have :

$$\begin{aligned} \text{(a)} \quad -\hat{T}_{\min} &= 2^\alpha \frac{T_a}{L} \frac{a\phi(L/2)}{L^\alpha} \neq 0 \\ \text{(b)} \quad -\hat{T}_{\max} &= \frac{T_a}{L} \frac{a\phi(l_o, L)}{l_o^\alpha} \quad (13) \\ \text{(c)} \quad \frac{\hat{T}_{\max}}{\hat{T}_{\min}} &= \frac{\phi(l_o, L)}{2^\alpha \phi(L/2)} \left(\frac{L}{l_o}\right)^\alpha \approx \frac{1}{2^\alpha \phi(L/2)} \left(\frac{L}{l_o}\right)^\alpha \end{aligned}$$

when $l_o \ll L$, $\phi(l_o, L) \rightarrow 1$ (see eq.9). $\hat{T}_{\min} \rightarrow 0$ when $L \rightarrow \infty$ for $\alpha > 1$. Also, when $l_o \rightarrow 0$, $(L - l_o) \rightarrow 0$, $\hat{T}_{\max} \rightarrow \infty$. According to eq.1, we assume that melting takes place at constant $h_w \equiv L$ and area A .

From (12) and (13), the relative rate of displacement of ice water interface with temperature at given p_o , L and A are obtained as a function of the temperature shift \hat{T} :

$$\left\{ \frac{d(l/L)}{d\hat{T}} \right\}_{p_o, L, A} = \frac{1}{2} \frac{d}{d\hat{T}} \left[\left\{ \frac{\phi(l/L)}{\phi(L/2)} (-\hat{T}_{\min}) \right\}^{1/\alpha} \frac{1}{(-\hat{T})^{1/\alpha}} \right] \quad (14)$$

When (14) is multiplied by the mass of ice melting inside the aqueous separation of the bilayers of Fig.1b and by the average enthalpy of ice L melting defined at given p_o , A , h_w we obtain the temperature rate of melting of ice inside the aqueous separation. In the experiment in which the temperature of the WSL increases with a given time rate \dot{T}_p , eq.14 predicts a time rate variation of the relative position (l/L) of the ice water interface. If $(L/18)$ is the enthalpy of melting per gram of water, the rate of heat absorption per unit time by the mass m_s of water gradually melting inside the aqueous separation at constant p_o , h_w , A is equal to

$$\left(\frac{dQ}{dt} \right)_{p_o, h_w, A} = \frac{m_s L \dot{T}_p}{18 \alpha} \left\{ \frac{\phi(l/L)}{\phi(L/2)} (-\hat{T}_{\min}) \right\}^{1/\alpha} (-\hat{T})^{-(\alpha+1)/\alpha} = \epsilon \quad (15)$$

This rate of heat exchange corresponds to simultaneous melting of ice on both bilayers boarding one aqueous space. When ice melting is coupled with

spontaneous increase in bilayer area A or spacing $h_w = L$, the measured $(dQ/dt)_{p_0}$ includes effects in addition to those predicted by eq.15.

Apparent molar enthalpy of ice-water transition. Non-freezing, non-melting water molecules and hydration of bilayers.

The linear plot $\log(dQ/dt)_{p_0}$ vs $\log(-\hat{T})$ may provide the value of the parameter α of eq.9 for surface forces. Such a linear plot may be obtained only when variations of A and L contribute very little to the heat absorbed on ice melting.

The integral of (15) with respect to the time provides $Q = L (m_s/18)$ the apparent value of L . This factor may be obtained also from the measurements of (dQ/dt) and eq.15 when α is known and $\phi(1/L) \rightarrow \phi(L/2)$. When the value of Q is smaller than $(m_s/18)L$, it is assumed that the aqueous separation has two classes of water molecules: the normally melting ones for which $L \approx 1440 \text{ cal mol}^{-1}$ (Ref.28) and the non-freezing non-melting water molecules for which $L = 0$. Such a distribution of water molecules in lamellar systems is consistent with the simple Gibbs convention (see section a). When the non-freezing non-melting water molecules form layers of thickness $l = l_0$ next to the bilayers (Fig.3), this thickness corresponds according to eq.12 to a large value \hat{T}_{\max} for the temperature shift of the ice water transition temperature. For $|\hat{T}| > |\hat{T}_{\max}|$, $(dQ/dt) = 0$. The heat of ice melting Q obtained from the integral of (15) is then equal to

$$Q = \frac{m_s L}{18} \left(1 - \frac{2l_0}{L}\right). \quad (16)$$

It corresponds to a "spectrum" of ice/water transition temperature shifts in the range \hat{T}_{\max} to \hat{T}_{\min} . In the case of increased interaction between bilayers and water (increased hydration) l_0 increases, Q and \hat{T}_{\max} decrease according to (16) and to (12) respectively.

Ultrafiltration in monolayers and lateral pressure in bilayers.

Compressing a spread monolayer of lipid in a Langmuir trough is a two-dimensional ultrafiltration at constant μ_w as in Fig.1b and Fig.1c. For the monolayer $h_w = \infty$, $\Pi = 0$, in (5) and in (1) n_1 , p , T , σ and the chemical potential of water μ_w are fixed. The area $A = A_0 + A_f$ of the substrate consists of the clean surface A_0 of surface tension σ_0 and of the surface covered film A_f of surface tension σ_f . To transfer δn_w moles of water from the area A_f into the area A_0 at constant σ_f and σ_0 , μ_w , T , p a two-dimensional, isothermal flow work is performed to compensate the difference in partial molar free energies of water \bar{F}_w in the area A_f and in A_0 . Therefore from (1), the change in free energy defined as $\delta F = \delta(U - TS)$ is equal to:

$$\delta F_{T,p,n_1,\mu_w,h_w=\infty} = (\bar{F}_{w,o}^\sigma - \bar{F}_{w,f}^\sigma) \delta n_w = -(\sigma_0 - \sigma_f)_{T,p,n_1,\mu_w} \delta A_f \quad (17)$$

If we let $\delta A_f / \delta n_w = (\partial A_f / \partial n_w)_{T,p,n_1,\mu_w}$ in eq.17 we obtain for the change in the partial molar free energy of transferred water the expression:

$$(\bar{F}_{w,o}^\sigma - \bar{F}_{w,f}^\sigma) = - \Delta \sigma \left(\frac{\partial A}{\partial n_w} \right)_{T,p,n_1,\mu_w,\sigma} \quad (18)$$

The difference between the "lateral" pressure in lipid bilayers postulated in Ref.29 and the usual, monolayer surface pressure is evident by comparing (8) and (18). While both concepts involve hydration of lipid bilayers or of monolayers under the same conditions i.e. $(\partial A / \partial n_w)_{T,p,n_1,\mu_w,\sigma}$ is the same, in one case (eq.8) the lateral pressure is related to exchange of molecules between an interface and bulk water; in the other case (eq.18) the surface pressure is related to exchange of water between two aqueous surfaces. On both cases the water chemical potential is fixed. However the reference state is different.

The chemical potential of water μ_w in the surface phase in the presence or in the absence of the film is obtained from (17) using the definition of partial molar area of water at the surface ω_w :

$$\bar{F}_{w,o}^\sigma - \sigma_o \omega_w = \bar{F}_{wf}^\sigma - \sigma \omega_w = \mu_w^o(p,T) \quad (19)$$

where in the monolayer model (Ref.25, eq.12.15) ω_w is defined as follows :

$$\left(\frac{\partial A}{\partial n_w}\right)_{T,p,\sigma,n_l,\mu_w} = \omega_w(T,p,\sigma) \quad (20)$$

Freezing of water and ice nucleation between lipid bilayers.

When the system in Fig.1 is cooled below $T = T_a$ which is the normal freezing point of water, assuming supercooling has been avoided, ice has replaced water above the dialysis membrane. Under equilibrium conditions of the system the problem is that of coexistence of external bulk ice with both ice and water inside the aqueous separation between bilayers (Fig.2b).

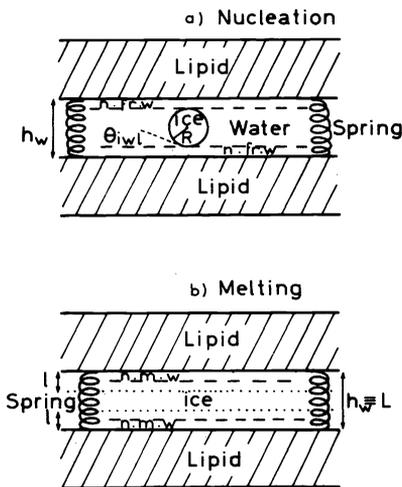


Fig.3. Ice nucleation and ice melting between lipid bilayers.

a) Nucleation. $L = h_w$ aqueous gap width; R = ice nucleus radius; θ_{iw1} = contact angle; n_{fw} = non freezing layer l_o in eq.16.

b) Ice melting. l = distance of ice water front from bilayer interface. $n.m.w$ = non melting water layer l_o in eq.16.

However freezing by itself proceeds and propagates from an ice nucleus of critical size (Ref.30). It is assumed that the critical diameter of spherical nuclei formed at a given $T < T_a$ inside a porous solid saturated with a liquid (Fig.3) is equal to the effective radius R of a nuclei and is smaller than the real pore radius R_p (Ref.31 & 32). The temperature $T = T_a + \hat{T}$, the equilibrium temperature of ice nucleation in a pore is obtained assuming that the Laplace pressure ($p_i - p_w$) = \hat{p} , where \hat{p} is the pressure shift between ice and water, and the isothermal enthalpy of melting are related by :

$$p_i - p_w = \hat{p} = \frac{2\sigma_{iw}}{R_n} = - \int_{T_a}^T \frac{s_w - s_i}{v_i} dT \quad (21)$$

Terms based on Laplace pressure are absent from (12) which is representative of planar systems with $R_p = \infty$. In Ref.30 & 31 the contribution of ice water transition on s_{sup} the entropy of condensate pore forming substance interface is allowed for. The molar enthalpy of the nucleus formation is then equal to :

$$\Delta H_{T,R_n} = T \Delta s \left\{ 1 + \frac{d\sigma_{iw}}{dT} \cos \theta_{iw} \frac{T}{\sigma_{iw}} \right\} \quad \text{at } T = T_a + \hat{T} \quad (22)$$

where σ_{iw} is the ice water interfacial tension, θ_{iw} is the contact angle of the ice water meniscus with the bilayer surface and Δs is the molar entropy of freezing in bulk at T and $p = p_o + \hat{p}$. The difference between R_p and R is equal to :

$$R_p = R + l_o \quad (23)$$

where l_0 is the parameter related to \hat{T}_{max} appearing in eq.16. Assuming $\Theta_{iw} = 0$ and using their results for the depression of ice formation from water saturating calibrated porous solids, the variations of $\Delta s = s_w - s_i$ with T and R and of σ_{iw} with T have been deduced in Ref.32 (eq.17 and Fig.2). It is found that the enthalpy of ice water transition in bulk is lowered by about 1% per 1°C depression ($-\hat{T} = 1^\circ\text{C}$). This correction of L becomes important for large temperature shifts. Surface effects related to $(d\sigma_{iw}/dT)$ produce additional lowerings of the apparent enthalpy of freezing in porous materials. For water freezing at -20°C , an apparent enthalpy of about 200 J g^{-1} is predicted instead of the normal 332 J g^{-1} . For ice melting at the same temperature the apparent enthalpy ($\sim 165 \text{ J g}^{-1}$) is lower than the freezing enthalpy under normal conditions.

EXPERIMENTAL AND RESULTS

Materials and apparatus.

Sodium phosphatidylinositolmonophosphate PI extracted from wheat and egg lecithin EL (both Lipid Products), dipalmitoyl lecithin DPPC (Fluka) and cholesterol (Merck) are commercial products. The lipids are tested by TLC. Vinblastine VLB and polysoap PS or PVPC6, 2-methyl-5-vinyl-1-hexylpyridinium bromide are gifts from Eli-Lilly (USA) and from Cl. Loucheux (Lille) respectively. They were used as received.

DSC sample preparation.

Solutions of phospholipid in methanol/chloroform (1/9, v/v) were prepared. Aliquots deposited in aluminium DSC cups are evaporated under a N_2 stream to constant weight ($\pm 5 \mu\text{g}$). A weighed amount ($\pm 5 \mu\text{g}$) of water is added. The cup is sealed and reweighed. Cups which loose weight (water) during subsequent treatments are discarded. The lipid pure or mixed with the second constituent weighs 0.5 mg to 2.5 mg. The water weighs 0.25 mg to 1.9 mg. The samples may be incubated at 60°C to $\sim 80^\circ\text{C}$ for periods up to 32h to $\sim 80\text{h}$ when necessary. They were doubled at least.

A Du Pont de Nemours Thermal Analyser and DSC calorimeter type 990-910 equipped with a 990 Mechanical cooling Accessory is utilised either to perform controlled heating experiments or controlled heating-cooling cycles. In general the rate of temperature variation is $T_p = 2^\circ \text{ min}^{-1}$ and the sensitivity is in the range $48\text{-}238 \mu\text{cal sec}^{-1}$. In our true differential calorimetric exploitation of the apparatus a "water" reference is opposed to the sample. The reference serves also to calibrate the apparatus around and below 0°C .

Thermograms.

Thermograms for heating runs and for cooling-heating cycles are obtained between various temperature limits : -65°C to 20°C for samples including PI or EL ; -65°C to 50°C (or 70°C when necessary) for samples including DPPC ; -65°C to about 0°C or 1°C for the study of water nucleation in the absence of supercooling. Examples of thermograms are shown in Fig.4, in Fig.5 and in Fig.6.

Fig.4 shows typical thermograms for freezing cooling cycles limited at about 0°C . The ice melting (respectively water freezing or nucleation) peaks of the samples occur on the endothermic (exothermic) sides of the thermograms. For reference R the peaks location is reversed. Supercooling in the reference water produces spurious R peaks (Fig.4, $x_{DPPC} = 1$). Such effects are never observed for ice nucleation peaks S of the sample. The peaks S for cooling are sharp. They occur at temperatures T_f easy to locate (see all S peaks on cooling in Fig.4). This location is reproducible ($\sim \pm 1^\circ\text{C}$) and independent of supercooling i.e. upper temperature limit of the cycle. The very different aspect of the peaks S : broad for ice melting, very sharp for freezing, has to be emphasized. It is very important.

The Fig.5 represents the following heating thermograms :

a) Sample cup is empty ; the mass of reference water m_{rg} is used to calibrate the apparatus assuming an enthalpy equal to $1440 \text{ cal mol}^{-1}$ for the ice-water transition. The location of the peak positions the temperature 0°C as shown.

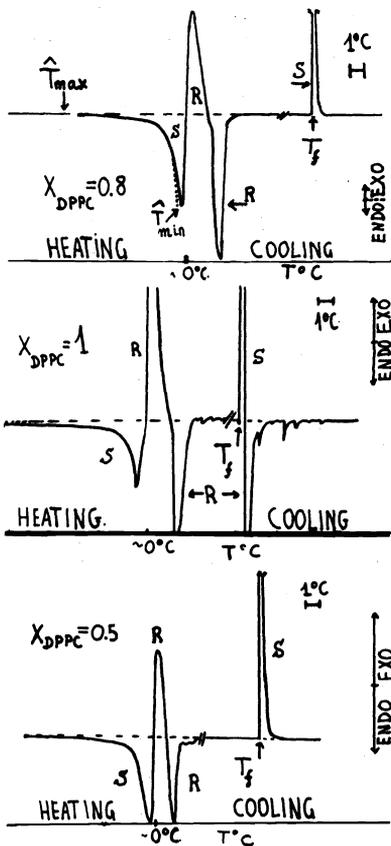


Fig.4. Heating-cooling cycles between -60°C to $\sim 0^{\circ}\text{C}$. (DPPC + VLB) + H_2O (50% w/w). x_{DPPC} = mol fraction of the lipid in the dry mixture. S = sample peaks ; R = reference peaks. T_f = freezing (ice nucleation) temperature. \hat{T}_{min} : see eq.13a. \hat{T}_{max} : see eq.13b. Dashed curve ($x_{DPPC} = 0.8$) extrapolated according to eq.15.

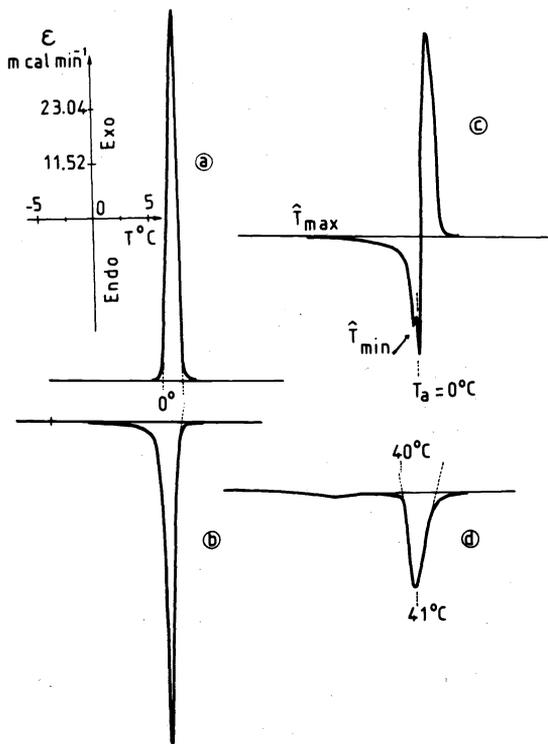


Fig.5. Heating thermograms for fully hydrated DPPC. Heating rate $2^{\circ}\text{C min}^{-1}$. 50% (w/w) water. a) 0.520 mg H_2O in reference cup. Sample cup empty. b) Sample 0.5 mg DPPC + 0.52 mg H_2O . c) True DSC technique : (a) and (b) opposed. d) DPPC gel-liquid crystal transition and pretransition.

b) Reference cup is empty. The peaks of external ice and internal ice melting merge and a broad peak is obtained for ice melting in the sample (compare (a) and (b) peaks in Fig.5). Mass of water in the sample is m_S .

c) Reference (a) and sample (b) ($m_R = m_S$) are opposed (true differential condition). The contribution of the external ice melting in the sample is eliminated. The small peak at \hat{T}_{min} corresponds to the end of internal ice melting (Fig.2), (Ref.21). This \hat{T}_{min} and the \hat{T}_{min} in Fig.4 are comparable. In this last case the external water may not have melted. Both values of \hat{T}_{min} ($\neq 0$) are different from naught.

Peaks (d) in Fig.5 represent the pretransition and the gel-liquid crystal transition of fully hydrated DPPC.

The thermograms in Fig.6 show a heating-cooling cycle in the range -60°C to 50°C for the mixture (DPPC + VLB) at $x_{DPPC} = 0.8$. Reference water R supercooling is evident. The base of the freezing peak S is distorted when compared to the one in Fig.4 for the same sample. The reason of this distortion is not clear. It might be due to some supercooling of internal water.

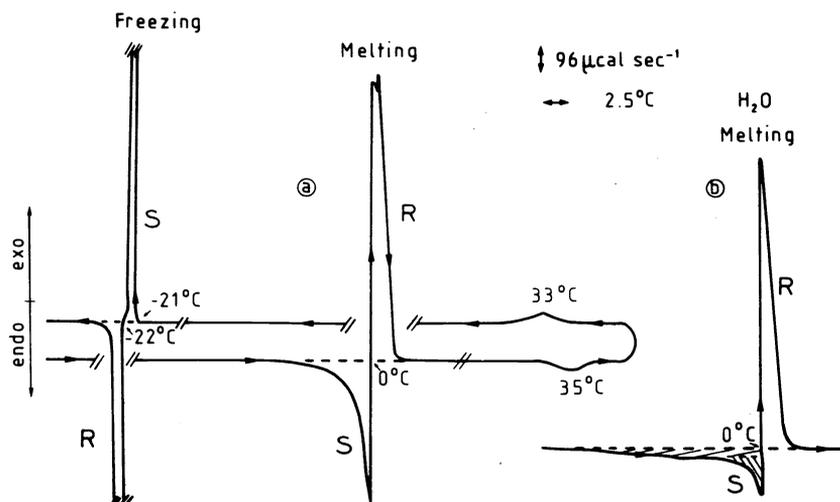


Fig.6. Thermograms for (DPPC + VLB) and for EL.

a) Heating cooling cycle between -60°C and 50°C . 0.5 mg (DPPC + VLB) + 0.5 mg H_2O . $x_{DPPC} = 0.8$ (lipid mol fraction in the dry mixture). S = H_2O sample peaks; R = H_2O reference peaks; DPPC transition peaks at 35°C and 33°C . b) Heating thermograms for fully hydrated EL (0.5 mg lipid, 0.5 mg H_2O).

The inset heating thermogram has been obtained for fully hydrated EL (Fig.6).

The systems for which thermograms have been obtained are quoted in Table 1.

Enthalpies of phase transitions in the sample. Freezing and non-freezing water molecules.

The heat exchanged on phase transition by the sample is obtained from the areas of the thermograms peaks. They are measured with a planimeter. We designate by $\Sigma_{\text{H}_2\text{O}}^{\text{refo}}$, $\Sigma_{\text{H}_2\text{O}}^{\text{ref}}$, $\Sigma_{\text{H}_2\text{O}}$ the areas corresponding to the ice water transition in the reference cup on calibration (run a, no sample, Fig.5), in the reference cup opposed to sample (in run c, Fig.5) and inside the sample (broad peak at $T < 0^\circ\text{C}$, run c, Fig.5) respectively.

TABLE 1. Systems studied by true DSC

Lipid system	Composition x_{lipid}	% H ₂ O (w/w)	Thermogram	
			Mode	Rate (°C min ⁻¹)
DPPC + PVPC6	0 - 1	50	Heating	5
PI + PVPC6	0 - 1	40	"	5
DPPC	1	15,50	"	2
PI	1	15,20,40,60	"	2
EL + Ch	0,0.5,1	50	"	2
DPPC + Ch	0,0.25,0.75,1	50	"	5
DPPC + VLB*	0.25 - 1	50	"	5
DPPC + VLB	0.4 - 1	50	Cycle	2

*(Ref.33) x_{lipid} = mol fraction of lipid

The ratio $\Sigma_{\text{H}_2\text{O}}^{\text{ref}}/\Sigma_{\text{H}_2\text{O}}^{\text{refo}}$ provides the water percentage which does not melt at 0°C i.e. the percentage of *internal water*. The weight of this water m_s may be obtained by dividing the heat corresponding to $\Sigma_{\text{H}_2\text{O}}^{\text{ref}}$ by the specific heat of ice water transition λ cal g⁻¹. The number of $\Sigma_{\text{H}_2\text{O}}^{\text{ref}}$ moles is obtained from m_s .

The ratio $\Sigma_{\text{H}_2\text{O}}^{\text{s}}/\Sigma_{\text{H}_2\text{O}}^{\text{refo}}$ is the percentage of internal water undergoing freezing and melting. The ratio $(\Sigma_{\text{H}_2\text{O}}^{\text{ref}} - \Sigma_{\text{H}_2\text{O}}^{\text{s}})/\Sigma_{\text{H}_2\text{O}}^{\text{refo}}$ is the percentage of water which neither freezes nor melts in the sample. Out of phase melting of external water produces the peak on the right of the one located at T_{min} on Fig.5c. The area of this peak, absent from the thermogram of Fig.4 represents less than 5% of the area $\Sigma_{\text{H}_2\text{O}}^{\text{refo}}$.

When the upper limit of the heating cooling cycle is about 1°C, ice in R has melted completely. The areas $\Sigma_{\text{H}_2\text{O}}^{\text{ref}}$ of the two peaks R (melting and freezing) (ex : see Fig.4) are then equal. It is very important to note that although the shapes of the peaks S for melting and for freezing respectively are very different (Fig.4) their areas are comparable. For $x_{\text{DPPD}} = 0.5$, $\Sigma_{\text{H}_2\text{O}}^{\text{s}}$ (melting) = 8.1 sq cm ; $\Sigma_{\text{H}_2\text{O}}^{\text{s}}$ (freezing) = 8.1 sq cm. For $x_{\text{DPPC}} = 0.8$, $\Sigma_{\text{H}_2\text{O}}^{\text{s}}$ (melting) = 8.0 sq cm ; and $\Sigma_{\text{H}_2\text{O}}^{\text{s}}$ (freezing) = 8.17 sq cm. In contrast, when heating is stopped below 0°C the area of the ice melting peak is smaller than that for the freezing peak. The behaviour of the water saturating a porous mineral material appears different (Ref.32).

The rate of heat exchanged by the sample including the effect of internal ice melting may be obtained also from the thermograms and from eq.1 and eq.15 as shown in the appendix. For a given WSL formed of n_l and n_w moles of lipid and water respectively this rate is equal to :

$$\left(\frac{dQ}{dt}\right)_{p_o, n_l, n_w} = \dot{T}_p \left\{ C_{p_o, A, h_w} - 2T \left(\frac{\partial \sigma}{\partial T}\right)_{A, p_o, h_w} \frac{dA}{dT} + \right. \\ \left. + AT \left(\frac{\partial \Pi}{\partial T}\right)_{A, p_o, h_w} \frac{dh_w}{dT} + \frac{m_s L}{18\alpha} \left[\frac{\phi(L/1)}{\phi(L/2)} (-\hat{T}_{\text{min}}) \right]^{1/\alpha} (-\hat{T})^{-\frac{(\alpha+1)}{\alpha}} \right\} = \epsilon \quad (24)$$

The first term on the r.h.s of (24) is the contribution of the sample heat capacity outside of the range of phase transition temperatures. This heat capacity is defined at constant external pressure and constant sample area A and aqueous spacing h_w . It is known that the contribution of the C_p term is negligible in the range of phase transition temperatures. Then the plot of $\log (dQ/dt)_{p_o, n_l, n_w}$ vs $\log (-\hat{T})$ is linear provided that according to eq.24 there is no other heat contribution except ice melting i.e. WSL expands neither laterally (constant A) nor normally (constant h_w). Fully hydrated lamellar DPPC in the "gel" state (Fig.7) provides such plots. From the best lines we obtain $2.02 < \alpha < 2.10$ where α is the exponent of the distance power law for the surface forces (eq.9). The two lines in Fig.7 correspond to two scanning rates \dot{T}_p as predicted by eq.24.

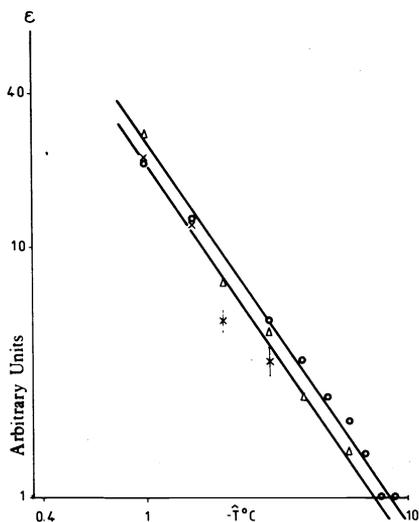


Fig. 7. Log-log plot of thermograms according to eq. 15. DPPC fully hydrated (50%, w/w).

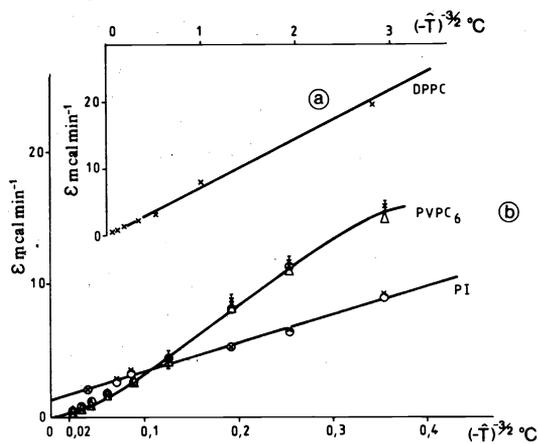


Fig. 8. Plot of thermograms according to eq. 15. ξ : differential power input ; $-T$: ice fusion temperature depression.

a) Fully hydrated DPPC (50%, w/w).
 b) Polysoap PVPC₆ + H₂O (60% water w/w) and fully hydrated PI + H₂O (60% water w/w).

WSL in the fluid state above the gel-liquid crystal transition temperature does not provide linear log (dQ/dt) vs log (T) plots. Assuming the same mechanism of internal ice melting and the same surface force for fluid and for "gel" type WSL, we let $\alpha = 2$ in eq. 24 and plot (dQ/dt) vs $(-T)^{-3/2}$ for PI. Examples of such plots of thermograms obtained below 0°C are shown in Fig. 8 for the rigid bilayers DPPC, for the fluid PI above its transition temperature ($\sim -22.5^\circ\text{C} \pm 1.5$) and for PVPC₆ which does not form a multilamellar phase. Examples of such plots for thermograms obtained below 0°C with the rigid bilayers of DPPC, with the fluid bilayers of PI and with PVPC₆ are shown in Fig. 8. For the rigid DPPC bilayers the contributions of WSL heat of expansion (second and third term on r.h.s. of 24) seem indeed negligible. For the fluid bilayers of PI, the plateaus on the thermograms in Fig. 9 and the ordinate at the origin of the line for PI in Fig. 8 indicate that an additional constant rate of heat absorption by the WSL takes place in the range of internal ice melting temperature. We assume that this rate of heat absorption parallel to internal ice melting may correspond to WSL expansion : i.e. $dA > 0$, $(d\sigma/dt) < 0$ and eventually $dh_w > 0$ in eq. 24. At present no results for $(\partial\Pi/\partial t)$ are available.

On the thermograms shown in Fig. 9 the peaks for PI gel-liquid crystal and ice water transitions are distinct. They are separated by plateaus corresponding to the constant rate of heat absorption possibly due to WSL thermal expansion. At the lowest hydration level (15% H₂O, w/w) the ice melting peak has vanished, but the constant rate of heat absorption persists. Increasing the amount of water in the mixture, increases this effect which may become parallel to internal ice melting (40% H₂O and 60% H₂O w/w in Fig. 9). The fluid bilayers of EL behave in the same way as the fluid PI (see Fig. 6). The EL thermograms provide good plots (not shown) according to eq. 24. The plateaus of the thermograms for PI in Fig. 6b become the ordinates at the origin of the plots ϵ vs $(-T)^{-3/2}$. An example is shown in Fig. 8b.

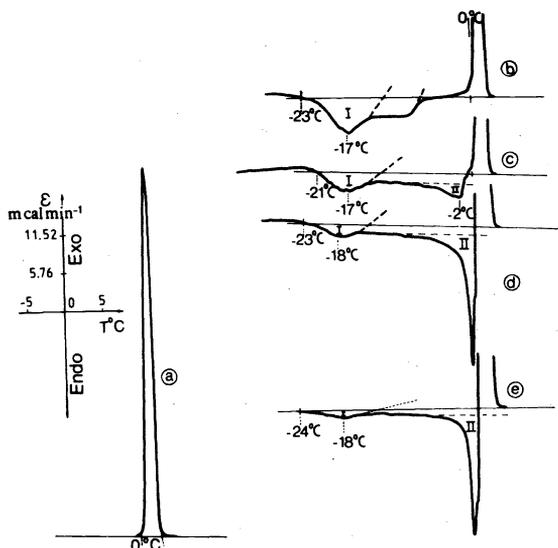


Fig.9. Thermograms for PI. Heating rate : $2^{\circ} \text{ min}^{-1}$.
 a) Ice melting in reference cup ; 0.44 mg water ; sample cup empty.
 b) Water 15% (w/w) in sample cup ; reference cup as in (a) (2.5 mg PI + 0.44 mg H_2O).
 c) Water 20% (w/w) in sample cup (1.94 mg PI + 0.480 mg H_2O) Reference cup 0.5 mg H_2O .
 d) Water 40% (w/w) in sample cup (1.52 mg PI + 1.020 mg H_2O); reference cup 1.02 mg H_2O .
 e) Water 60% (w/w) in sample cup (1.18 mg PI + 1.89 mg H_2O); 1.9 mg H_2O in reference cup.

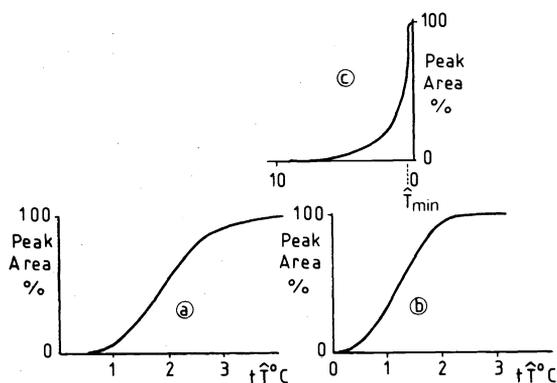


Fig.10. Test of cooperativity of various transitions. Percentage of peak area vs temperature.
 a) Pure ice fusion.
 b) DPPC "chain melting" transition.
 c) Endothermic peak for "ice" fusion inside a multilamellar phase of fully hydrated (50% w/w) DPPC.

The cumulated peak areas corresponding to the heat Q absorbed up to a given temperature T during a phase transition are shown in Fig.10 for ice melting in the reference cup R, in the sample S and for the gel-liquid crystal transition of DPPC bilayers.

TABLE 2. Enthalpies of lipid phase transition and expansion. Internal water and "non-freezing" water.

System	$\text{H}_2\text{O}\%$	x_{lip}	ΔH_{lip}		$\text{H}_2\text{O}/\text{molecule}$		$\text{H}_2\text{O}/\text{molecule}$ (Ref.3 & 4)
			Cal/mol		inter- nal	non- freez	
DPPC	50	1	8.60		21	13	~ 19
DPPC + PVPC6	50	0.5	0.65		29	16	
DPPC + Ch	50	0.5			20	14	~ 23
DPPC + VLB	50	0.5	8.0		38	14	
PI	40	1.0	10.5	6.5	22	15	
PI	60	1.0	10.5	6.7	40	32	
PI + PVPC6	40	0.5	0.2		21	2	
EL	50	1.0			39	22	33
EL + Ch	50	0.5			26	16.4	22
PVPC6	50	0.0			16	7	
Ch	50	0.0	0.9(37°C)		9	5.0	

x_{lip} = mol fraction of lipid in the dry mixture ; ΔH_{lip} = molar enthalpy of "gel"-liquid crystal transition ; ΔH_{lip}^e = enthalpy of bilayer expansion (per mol of lipid). $(H_2O/molecule)_{internal}$: water molecules in the aqueous space per bilayer molecule ; non-freez(ing) water molecules per bilayer molecule defined according to Ref.6. Water molecules per bilayer molecule from X-Ray measurement of the aqueous space width. Temperature cholesterol transition (37°C) at the peak maximum.

In Table 2 we reproduce the molar enthalpy (per lipid molecule) of the low temperature transition of the bilayers ΔH_{lip} . This quantity includes the eventual heat of area A and spacing h_w expansion defined by eq.24. The bilayers are formed either by pure lipids or by lipids + contaminants. Only the results for the composition (1/1), (lipid/contaminant) are shown.

$\hat{T}_f, \hat{T}_{min}, \hat{T}_{max}$, depressions. Apparent enthalpy of internal ice melting. The depression \hat{T}_f of the nucleation of internal "ice" between bilayers is equal to $-21.5^\circ C \pm 1.5$. For the mixed (1/1)(DPPC/VLB) bilayers \hat{T}_f becomes equal to $-22^\circ C \pm 1$. This variation is not significant. From the data in Ref.32 for the molar enthalpy of bulk ice melting $L = 1150$ Cal and for the ice water interfacial tension $\sigma_{iw} \approx 37$ mNm $^{-1}$ we obtain $R = 3.4$ nm. This result is of correct order of magnitude. It is strongly dependent on the value used for σ_{iw} . The exothermic peak corresponding to freezing of water and the endothermic peak obtained on ice melting are practically equal (Fig.4, Fig.6) in size although their shapes are very different. The value of (l_o/L) , the minimum relative "thickness" of non-freezable water, is obtained from (13c) with $\alpha = 2$ and $\phi(L/2) = 2$. The aqueous spacing width $h_w = L$ is proportional to the mass m_s of the aqueous spacing on the number of internal water molecules per bilayer molecule, reported in Table 2. The value of l_o expressed in the same units is shown in Table 3.

TABLE 3. Non-freezing water molecules and enthalpy of internal ice melting.

System	$-\hat{T}_{min}$ °C	$-\hat{T}_{max}$ °C	m_s mg	Q mcal	non-freez l_o mol/mol	L cal/mol
DPPC	0.5-0.75	10-12	0.35	12	1.7	743
DPPC/PVPC6 (1/1)	0.5-1	6	0.31	4.2	3.4	324
DPPC/Ch (1/1)	0.5	12.5	0.34	8.4	1.4	517
PI	1	10	1.2	20.5	4.4	394
PI + PVPC6 (1/1)	1.5	16.5	0.82	51	2.0	1430
EL	0.25	16.5	0.45	13.5	1.7	587
EL + Ch (1/1)	0.5	11	0.41	9.4	2.0	486

DPPC and EL containing system have 50% (w/w) water. Those containing PI have 60% (w/w) water for pure PI and 40% water for PI + PVPC6. $\hat{T}_{min}, \hat{T}_{max}$ (see eq.13a and 13b). m_s, Q obtained from $\Sigma_{H_2O}^{ref}$ and Σ_{H_2O} as explained in the text. Non-freez l_o : number of non-freezing water molecules/molecule of bilayer. L = molar enthalpy of water freezing or ice melting inside the aqueous separation.

From the values of l_o and eq.16 we deduce L the molar enthalpy of "ice" melting inside the aqueous spacing between bilayers. The values of L for various systems are reported in Table 3. Note that $\hat{T}_{min}, \hat{T}_{max}, Q$ and m_s are measured independently using the peaks S and R respectively of a heating thermogram below and at 0°C (Fig.4, Fig.5, Fig.6 & Fig.9).

DISCUSSION OF THE RESULTS

The osmotic pressure "of a solution" at a given T and the depression of the pure solvent solid-liquid transition temperature at a given p_o are shifts of intensive parameters, respectively $\delta p = \hat{p}$ and $\delta T = \hat{T}$. These shifts do not affect the thermodynamic properties of the system. They are determined by the additional pressure to be applied on/and by the final temperature of/the

system which allow it (the system) to be in equilibrium with a separated phase of pure solvent (Ref.34). The shifts \hat{p} and \hat{T} are the result of the lowering of solvent free energy by the solute. Surface forces affect the free energy and the thermal behaviour (Ref.27, 36 & 37) of "vicinal" water (Ref.38) near surfaces and inside "porous" systems (Ref.21, 32, 35, 36 & 37).

\hat{p} experiments.

In Fig.1 and in eq.1 to eq.8 we undertake a discussion of the ultrafiltration dehydration, or squeezing out of water from the phospholipid "onions" formed by WSL above the gel-liquid crystal transition temperature of the lipid. The measurements (Ref.1-4) provide the value of the flow work for dehydration (eq.8) which has two contributions related to the two origins of the squeezed out δn_w molecules. These origins are the adsorbed n_w^{σ} and the gap water, inside $h_w = L$ in Fig.1b. Therefore the flow work $v\delta p$ has an interfacial or lateral component significant when the bilayers adsorb water $n_w^{\sigma} \neq 0$ and when the interfacial tension varies $\delta\sigma \neq 0$. The normal component is significant when $(\partial\Pi/\partial n_w) \neq 0$ and $\delta h_w \neq 0$. These two components have been measured and reported in Ref.3 & 4. The lateral "pressure" defined in Ref.29 becomes here the change $\delta\sigma$ associated with both water adsorption and change in bilayer area A because water molecules act here as spacers (Ref.13, 16 & 17) of lipid molecules. At constant n_l the molecular lipid area (eq.A7) ω_l^1 and the lipid chemical potential μ_l are modified by water desorption (eq.16) as follows :

$$\delta\mu_{l,T,p,n_l,\mu_w} = -\Pi\delta h_w - 2\omega_l^1\delta\sigma \quad (25)$$

in which the interfacial tension determines the lateral flow work contribution.

A two-dimensional ultrafiltration experiment and the monolayer model of interfaces demonstrate eq.17, eq.18 that surface pressure is different conceptually from the lateral pressure [(eq.8) and (eq.25)]. They have in common the "spacing" parameter for one water molecule (eq.A6) and (eq.20), ω_w , which is the water partial molecular area or water contribution to the monolayer and to the bilayer area A (Ref.39). Values reported for ω_w in the monolayer model are in the range 0.06 sq nm - 0.07 sq nm (Ref.20 & 40).

\hat{T} experiments.

The broad, non-cooperative peaks obtained for water melting inside WSL in contrast to the sharp, cooperative melting peaks of reference water reveal the effect of bilayer surface forces on the free energy of internal water (Figs.4, 5, 6, 9 & 10). Such free energy changes may also be involved by water soluble contaminants or when the "pore" size is not uniform (Ref.31, 32 & 41).

Soluble contaminants and a distribution of "pore" size would produce broad peaks for both ice melting and water freezing in WSL. In contrast, we find broad melting peaks associated to sharp freezing peaks (Fig.4) in WSL. Located at very different temperatures and different in shape, the melting and the freezing peaks provide the same areas (heats) implying that the same amount of water is involved in both processes. These are shown in Fig.3. Freezing corresponds to ice nucleation inside the aqueous gap. The evaluation of nucleus radius $R < L/2$ using eq.21 and the values for bulk ice water molar enthalpy of transition l and interfacial tension σ_{iw} leads only to a correct order of magnitude for R . However both l and σ_{iw} values might be different for gap and for bulk water. Finally X-Ray experiments have shown that the aqueous gap width is very uniform in multilamellar WSL (Ref.1-4, 22 & 23). The few X-Ray experiments carried out at low temperatures have shown that in the gel state the distance parameters are not affected by the temperature (Ref.23). Therefore neither contaminants nor "pore" size heterogeneity can explain the DSC melting and freezing thermograms of WSL. Surface forces are more successful (Ref.21 and eq.9, eq.13, eq.15, eq.16 & eq.24).

An ice melting peak inside WSL is limited by a low temperature shift \hat{T}_{\max} and a high temperature shift \hat{T}_{\min} (Fig.4). They are both dependent on the bilayer surface forces (eq.13a and eq.13b). Moreover, \hat{T}_{\max} depends on the thickness l_0 (Ref.21) of the non-freezing water while \hat{T}_{\min} depends on the aqueous gap width L (Fig.2). The ratio $(\hat{T}_{\max}/\hat{T}_{\min})$ also depends on the surface forces (power α) and on the relative value (l_0/L) (eq.13c).

When neither bilayer area A nor aqueous gap width L vary with temperature, the shape of the ice melting peak is given by eq.15. In the limit $(l \rightarrow l_0)$

when $\{\phi(1/L)/\phi(L/2)\} \approx \text{const}$, a $\log \epsilon \log (-\hat{T})$ plot of the thermogram provides the value of α . The rigid DPPC bilayers verify this thermogram (Fig.5 & 7). The value $\alpha = 2$ thus obtained is the power in the expression of surface forces (eq.9) and (Ref.21 & 27). Such a $\log \epsilon \log (-\hat{T})$ plot is not linear when the WSL expands on heating (eq.24). Using the value $\alpha = 2$ linear plots of the power ϵ vs $(-\hat{T})^{-3/2}$ are obtained which extrapolate to $\epsilon_0 \neq 0$ when $\hat{T} \rightarrow \hat{T}_{\text{max}}$. The value of ϵ_0 is the power absorbed by the WSL to modify its bilayer area A and aqueous gap h_w when heated. Such effects correspond to the "plateaus" on the thermograms and are obtained only with "fluid" bilayers of WSL such as PI, EL (see Fig.6, 8 & 9) and DPPC/Ch (1/1) (not shown). For PI the heat of expansion $\Delta H_{\text{lip}}^{\text{II}}$ (Table 1) is about 60% of the heat of gel-liquid crystal transition. It is important to note that this expansion is parallel to ice "melting" i.e. to water becoming available inside the aqueous gap.

The mass of internal water inside the aqueous gap of various lamellar systems is obtained from the area of the ice melting peak in the reference R (Table 2) and Table 3). Our results (Table 2) are close to those obtained by X-Ray studies (last column). We note that the positively charged polysoap (PVPC6) and drug (VLB) which fluidify the rigid DPPC bilayers (Ref.21 & 33), increase considerably the hydration of the WSL i.e. the amount of internal water. Cholesterol does not seem to have such an effect on DPPC (preliminary results). In contrast, it apparently dehydrates the fluid EL.

It is interesting to compare these results with the hydration of the pure constituents of mixed bilayers. PVPC6 and Ch are poorly hydrated. Careful analysis of results requires the ideal (mean) molecular hydration number for a mixed bilayer to be compared to the experimental results. From column 5 of Table 2 we obtain for the ideal (DPPC + Ch) (1/1) and (EL + Ch) (1/1) the values 14.5 and 24 (water molecules)/(molecule of bilayer). The well known fluidifying effect of cholesterol is accompanied by a 30% increase in hydration of DPPC bilayers. It is evident from Table 2, that hydration of WSL fluid bilayers is always superior to that of rigid ones (compare DPPC with EL and PI).

The heat of ice melting of the internal water Q (in Table 3) is obtained independently of the value of its mass m_s . It corresponds to the melting of a mass of water smaller than m_s (eq.16) by a percentage given by $(2l_0/L)$. This percentage is obtained independently from the limits \hat{T}_{min} and \hat{T}_{max} of the internal ice melting peaks (eq.13c). Using the values of L given in column 5 of Table 2, the number of non-freezing molecules equivalent to l_0 is deduced and reported in Table 3, column 6. From m_s , Q , (l_0/L) and eq.16 we obtain L , the heat of melting. These values are independent of any assumption on the state of water molecules inside the aqueous gap separating the bilayers of Fig.1. Our present method for finding out the non-freezing number of water molecules l_0 is original and different from that in Ref.6 & 21. In addition we provide the value of the molar enthalpy of "melting" L of internal ice, which has been assumed equal to that of bulk ice in Ref.6. The results obtained using this last assumption are shown in Table 2, column 6. They are much higher than those obtained by the present approach (l_0) in Table 3. The last results show also that the uncharged cholesterol does not modify significantly the number of strongly perturbed or non-freezing water molecules in contrast to the effect of the charged PVPC6 which "hydrates" the amphoteric DPPC and dehydrates the charged acidic PI (Table 3). These results qualitatively agree with those obtained previously. However, in addition, we show (Table 3, last column) that the heat of the non-cooperative ice melting (Fig.10) decreases in an extent which increases with the charge of the bilayer and, presumably, with the strength of the surface forces field. Thus when surfaces are charged (PI and DPPC + PVPC6) the molar enthalpy L is smallest : about 25% of the bulk value of L . When the charges are neutralized it increases to the bulk value (see PI + PVPC6 result, Table 3).

The values of L we obtain are average values over the gap L . Our method does not allow to resolve the variation of L with distance y from the water bilayer interface inside the aqueous gap. It shows though that surface fields may perturb them strongly. The values for the number of non-freezing water molecules are smaller than the ones reported in Ref.6 & 21. They are closer to the number of water molecules acting as spacers of lipid molecules in bilayers (Ref.16) and probably located between their polar heads. The parallel effects of electrostatic interaction on hydration of mixed bilayers and condensation in mixed films have been shown in Ref.21 for the first time.

CONCLUSION

To understand the process of ice melting inside the aqueous gaps between lipid bilayers the mass of ice and its molar enthalpy of melting have to be determined independently. This is possible using the present interpretation of the melting thermograms. The value of l and the cooperativity are controlled by the fluidity and the charge of the bilayers by means of their field of surface forces. Cooperativity of ice melting process is abolished, because it has to start at the bilayer ice interface. In contrast, nucleation of ice is still cooperative, but the molar enthalpies of this process and of melting are equal indicating that the surface forces bring the molar entropies of internal ice and water closer. While the bilayers control the ice melting process, ice nucleation has preserved its autonomy.

ANNEX

In general, for the parameters appearing in eq.1 and characterizing the WSL, given n_1 , n_w , the heat exchanged is equal to :

$$(TdS)_{n_1, n_w} = T\left(\frac{\partial S}{\partial T}\right)_{p, A, h_w} dT + T\left(\frac{\partial S}{\partial p}\right)_{T, A, h_w} dp + T\left(\frac{\partial S}{\partial A}\right)_{T, p, h_w} dA + T\left(\frac{\partial S}{\partial h_w}\right)_{T, p, A} dh_w \quad (A1)$$

When the function $\tilde{G} = U + pV - TS$ appearing in (2) is used to eliminate dU of eq.1, it is obtained :

$$d\tilde{G} = -SdT + Vdp + 2\sigma dA - A\pi dh_w + \mu_1 dn_1 + \mu_w dn_w \quad (A2)$$

Maxwell cross differentiation of the coefficients in A2 provides the partial derivatives appearing in (24) :

$$(TdS)_{n_1, n_w} = C_{A, h_w, p} dT - T\left(\frac{\partial V}{\partial T}\right)_{p, A, h_w} dp - 2T\left(\frac{\partial \sigma}{\partial T}\right)_{A, p, h_w} dA + AT\left(\frac{\partial \pi}{\partial T}\right)_{p, A, h_w} dh_w \quad (A3)$$

The heat capacity is defined at a given pressure p_0 , internal area A and aqueous spacing. In the range of temperature where the ice water phase transition occurs, the total heat exchanged by the sample is obtained by adding to (A3) the contribution predicted by eq.15. Then we get at constant pressure the following predicted rate of heat exchanged by the sample :

$$\left(\frac{dQ}{dt}\right)_{p_0, n_1, n_w} = \dot{T}_p \left\{ C_{p_0, A, h_w} - 2T\left(\frac{\partial \sigma}{\partial T}\right)_{A, p_0, h_w} \frac{dA}{dT} + AT\left(\frac{\partial \pi}{\partial T}\right)_{A, p_0, h_w} \frac{dh_w}{dT} + \frac{m_s L}{18\alpha} \left\{ \frac{\phi(1/L)}{\phi(L/2)} (-\hat{T}_{min})^{1/\alpha} \right\} (-\hat{T})^{-(\alpha+1)/\alpha} \right\} \quad (A4)$$

From (2) and (A2) the following Gibbs-Duhem equation is deduced :

$$SdT - Vdp + A\pi dh_w + n_w d\mu_w + n_1 d\mu_1 + 2A d\sigma = 0 \quad (A5)$$

For the ultra filtration at constant T , μ_w , n_1 , n_w , p_0 and $p_0 + \delta p$ (A5) becomes :

$$\pi dh_w + 2 \omega_1' d\sigma + d_{T, p} \mu_1 = 0 \quad (A6)$$

where ω_1' defined as follows :

$$\omega_1' = (A/n_1)_{T, p, \sigma} \quad (A7)$$

is the area per molecule of bilayer.

Acknowledgments - The author acknowledges a very stimulating discussion with R.P. Rand and the skilful technical assistance of G. Madelmont for DSC experiments and V. Malet for the manuscript.

REFERENCES

1. D. Le Neveu, R.P. Rand and A.V. Parsegian, Nature, **259**, 601-603 (1976).
2. D. Le Neveu, R.P. Rand, A.V. Parsegian and D. Gingell, Biophys. J., **18**, 203-209 (1977).
3. A.V. Parsegian, N. Fuller and R.P. Rand, Biochemistry, **76**, 2750-2754 (1979).
4. R.P. Rand, Ann. Rev. Biophys. Bioeng., **10**, 277-314 (1981).
5. D. Chapman, R.M. Williams and B.D. Ladbroke, Chem. Phys. Lipids, **1**, 445-475 (1967).
6. B.D. Ladbroke, R.M. Williams and D. Chapman, Biochim. Biophys. Acta, **150**, 333-340 (1968).
7. D. Chapman, Form and Function of Phospholipids, Eds. G.B. Ansell, J.N. Hawthorne, R.M.C. Dawson, Elsevier, Amsterdam (1973); Q. Rev. Biophys., **8**, 185-235 (1975).
8. J. Seelig and A. Seelig, Q. Rev. Biophys., **13**, 19-61 (1980).
9. A.G. Lee, Biochim. Biophys. Acta, **472**, 285-344 (1977).
10. H.V. Gally, A. Seelig and J. Seelig, Hoppe Seyler's Z. Physiol. Chem., **357**, 1447-1450 (1976).
11. B.A. Cornell, M. Keniry, R.G. Hiller and R. Smith, FEBS Letts., **115**, 134-138, 1980.
12. E. Bricknell-Brown and K.G. Brown, Biochem. Biophys. Res. Commun., **94**, 638-645 (1980).
13. S. Fowler Bush, H. Levin and I.W. Levin, Chem. Phys. Lipids, **27**, 101-111 (1980).
14. H. Bockerhoff, Bioorganic Chemistry, III, Macro and Multimolecular Systems, Acad. Press, N-Y., 1-20 (1977).
15. N.K. Ayengar, L.C. Lipton and H. Bockerhoff, Chem. Phys. Lipids, **25**, 203-208 (1979).
16. G. Büldt, H.V. Gally, A. Seelig, J. Seelig and G. Zaccai, Nature, **271**, 182-184 (1978).
17. J.C.W. Shepherd and G. Büldt, Biochim. Biophys. Acta, **558**, 41-47 (1979).
18. F. Müller-Landau and D.A. Cadenhead, Chem. Phys. Lipids, **25**, 299-314, 315-328, 329-343 (1979).
19. R.A. Demel and B. Kruyff, Biochim. Biophys. Acta, **457**, 109-132 (1976).
20. H. Steinbach and Ch. Sucker, Colloid & Polymer Sci., **257**, 192-200 (1975).
21. L. Ter-Minassian-Saraga and G. Madelmont, J. Colloid & Interf. Sci., in press.
22. V. Luzzati, Biological Membranes, Ed. D. Chapman, **1**, 71-123, Acad. Press, London (1968).
23. J.L. Ranck, R. Keira and V. Luzzati, Biochim. Biophys. Acta, **488**, 432-441 (1977).
24. G. Zaccai and D.J. Gilmore, J. Mol. Biol., **132**, 181-191 (1979).
25. P.K. Rogan and G. Zaccai, J. Mol. Biol., **145**, 281-283 (1981).
26. R. Defay, I. Prigogine, A. Bellemans and E.H. Everett, Surface Tension and Adsorption, Longmans, London (1966).
27. R.P. Gilpin, J. Colloid & Interf. Sci., **68**, 235-251 (1979).
28. Handbook of Chemistry and Physics, 59th Ed., CRC Press, USA, B272 (1978-1979).
29. S. Marcelja, Biochim. Biophys. Acta, **367**, 165-176 (1974).
30. A. Scheludko, Colloid Chemistry, Elsevier, Amsterdam, Ch.IV, **2**, p.98 (1966).
31. W. Kuhn, Helv. Chim. Acta, Vol.39, Fasc.4, **128**, 1071-1086 (1956).
32. M. Brun, A. Lallemand, G. Lorette, J.F. Quinson, M. Richard, L. Eyraud and C. Eyraud, J. Chim. Phys., **70**, 973-978, 979-989, 990-996 (1973); Thermochim. Acta, **21**, 59-88 (1977).
33. L. Ter-Minassian-Saraga, G. Madelmont, C. Hort-Legrand and S. Métral, Biochem. Pharm., **30**, 411-415 (1980).
34. E.A. Guggenheim, Thermodynamics, North-Holland, Amsterdam, 194 (1949).
35. S.S. Barer, B. Derjaguin, O.A. Kiseleva, V.D. Sobolev and N.V. Churaev, Kolloid Zhurn., **39**, 1039 (1977).
36. S.S. Barer, N.V. Churaev, B. Derjaguin, O.A. Kiseleva and V.D. Sobolev, J. Colloid & Interf. Sci., **74**, 173-180 (1980).
37. M. Vignes, J. Colloid & Interf. Sci., **60**, 162-171 (1977).
38. W. Drost-Hansen, Phys. Chem. Liq., **7**, 243-348 (1978).
39. L. Saraga and I. Prigogine, Comptes Rendus de la 2ème Réunion de Chim. Phys., Ed. Soc. Chim. Phys. Paris, 458-462, 2-7 juin (1952).
40. L. Ter-Minassian-Saraga and I. Prigogine, Mém. Serv. Chim. de l'Etat, **38**, **2**, 109-124 (1953).
41. D.H. Everett, Trans. Farad. Soc., **57**, 1541-1551 (1961).